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Docket No. 03089**SEP 09 2008****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Lang H. Nguyen Group Art Unit: 1796
Serial No.: 10/694,975 Examiner: BERNSHTEYN, Michael M.
Filed: October 28, 2003 Confirmation No.: 4434
For: **AQUEOUS COATING COMPOSITIONS**


OFFICIAL PAPER – EXAMINER MICHAEL M. BERNSHTEYN

Facsimile No. 1-571-273-8300
(Total Number of Pages, including this sheet: 14)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Enclosures: Transmittal of Information Disclosure Statement (x2), Second Supplemental Information Disclosure Statement, Form 1449, and 2 Cited References

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
03089**RECEIVED**
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In Re Application Of: **Lang H. Nguyen**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/694,975	October 28, 2003	BERNSHTEYN, Michael M.	65672	1796	4434

Title: **AQUEOUS COATING COMPOSITIONS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

P10A/REV06

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Title: AQUEOUS COATING COMPOSITIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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Signature

Dated: September 9, 2008

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DUPLICATE

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<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);						
OR						
<input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).						

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/694,975	October 28, 2003	BERNSHTEYN, Michael M.	65672	1796	4434

Title: **AQUEOUS COATING COMPOSITIONS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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***This certificate may only be used if paying by deposit account.**Dated: **September 9, 2008**


Signature

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Applicant: Lang H. Nguyen

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Filed: October 28, 2003

For: AQUEOUS COATING COMPOSITIONS

Commissioner for Patents
Washington, D.C. 20231**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Second Supplemental Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination and/or patentability of the above-identified application and in respect of which there may be a duty to disclose.

The filing of this Second Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Second Supplemental Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Second Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner.

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Docket No. 03089*PATENT*

Legible copies of all items listed on the attached form PTO-1449 (modified) are enclosed.

It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,



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(978) 670-6191

Date: September 9, 2008

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